

**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**

*Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,
provided for continued examination of a utility or plant application
filed on or after June 8, 1995.*

See the American Inventors Protection Act of 1999 (AIPA).

Application Number	10/694,899
Filing Date	October 29, 2003
First Named Inventor	Sadao MIZUNO et al.
Group Art Unit	2655
Examiner Name	Nabil Z. Hindi
Attorney Docket Number	2003_1572
Confirmation No.	4519

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

NOTE: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See *Changes to Application Examination and Provisional Application Practice*, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

1. Submission required under 37 C.F.R. § 1.114

a. ☐ Previously submitted:

- i. ☐ Please enter and consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on
- ii. ☐ Please consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other

b. ☒ Enclosed:

- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other

2. Miscellaneous

a. ☐ Suspension of action on the above-identified application is required under 37 C.F.R. § 1.103(c) for a period of months. (period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required).

b. ☐ Other

3. Fees (The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.)

a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No.

- i. ☐ RCE fee required under 37 C.F.R. § 1.17(e)
- ii. ☐ Extension of time fee (37 C.F.R. § 1.136 and § 1.17)
- iii. ☐ Other

b. ☒ Check in the amount of \$ 1,162.00 enclosed

11/01/2004 EABUBAK1 00000084 10694899

01 FC:1801	790.00 OP
02 FC:1201	264.00 OP
03 FC:1202	108.00 OP

4. CORRESPONDENCE ADDRESS

CUSTOMER NO.

000513

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October 29, 2004

11/01/2004 EABUBAK1 00000010 10394899

01 FC:1801	790.00 OP
02 FC:1201	264.00 OP
03 FC:1202	108.00 OP

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Void date: 11/01/2004 EABUBAK1 00000010 10394899
11/01/2004 EABUBAK1 00000010 10394899
01 FC:1801 790.00 OP
02 FC:1201 264.00 OP
03 FC:1202 108.00 OP



RCE/2655
Sent

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **MAIL STOP: RCE**
Sadao MIZUNO et al. : Docket No. 2003_1572
Serial No. 10/694,899 : Group Art Unit 2655
Filed October 29, 2003 : Examiner N. Hindi
CONVERGING ELEMENT, OPTICAL HEAD, : **Confirmation No. 4519**
AND APPARATUS AND METHOD OF
OPTICALLY RECORDING AND
REPRODUCING INFORMATION

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$1,162.00 to cover Patent Office fees relating to filing the following attached papers:

Request for Continued Examination (RCE) \$790.00
Additional Claims Fee:
Excess of Twenty \$108.00
Independent \$264.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sadao MIZUNO et al.

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October 29, 2004

[Check No. 64858]
2003_1572